



This course was written for dentists, dental hygienists, and dental assistants.



# Digit-sucking: Etiology, clinical implications, and treatment options

A peer-reviewed article by Alyssa Stiles, BS, RDH, LMT, COM

PUBLICATION DATE: EXPIRATION DATE:

FEBRUARY 2021 January 2024





This continuing education (CE) activity was developed by Endeavor Business Media with no commercial support.

This course was written for dentists, dental hygienists, and dental assistants, from novice to skilled.

Educational methods: This course is a self-instructional journal and web activity.

Provider disclosure: Endeavor Business Media neither has a leadership position nor a commercial interest in any products or services discussed or shared in this educational activity. No manufacturer or third party had any input in the development of the course content.

Requirements for successful completion: To obtain three (3) CE credits for this educational activity, you must pay the required fee, review the material, complete the course evaluation, and obtain an exam score of 70% or higher.

CE planner disclosure: Laura Winfield, Endeavor Business Media dental group CE coordinator, neither has a leadership nor commercial interest with the products or services discussed in this educational activity. Ms. Winfield can be reached at lwinfield@endeavorb2b.com.

Educational disclaimer: Completing a single continuing education course does not provide enough information to result in the participant being an expert in the field related to the course topic. It is a combination of many educational courses and clinical experience that allows the participant to develop skills and expertise.

**Image authenticity statement:** The images in this educational activity have not been altered.

**Scientific integrity statement:** Information shared in this CE course is developed from clinical research and represents the most current information available from evidence-based dentistry.

**Known benefits and limitations of the data:** The information presented in this educational activity is derived from the data and information contained in the reference section.

Registration: The cost of this CE course is \$59 for three (3)

Cancellation and refund policy: Any participant who is not 100% satisfied with this course can request a full refund by contacting Endeavor Business Media in writing.

# Provider information:

Dental Board of California: Provider RP5933. Course registration number CA code: 03-5933-20010. Expires 7/31/2022. "This course meets the Dental Board of California's requirements for three (3) units of continuing education."



Endeavor Business Media is a nationally approved PACE program provider for FAGD/MAGD credit. Approval does not imply acceptance by any regulatory authority or AGD endorsement. 11/1/2019 to 10/31/2022. Provider ID# 320452 AGD code: 430



Endeavor Business Media is designated as an approved Provider by the American Academy of Dental Hyglene, Inc. #AADHPNW (January 1, 2021-December 31, 2022). Approval does not imply acceptance by a state or provincial Board of Dentistry. Licensee should maintain this document in the event of an audit.

# ADA C·E·R·P® Continuing Education Recognition Program

Endeavor Business Media is an ADA CERP-recognized provider.

ADA CERP is a service of the American Dental Association to assist dental professionals in identifying quality providers of dental continuing education. ADA CERP does not approve or endorse individual courses or instructors, nor does it imply acceptance of credit hours by boards of dentistry.

Concerns or complaints about a CE provider may be directed to the provider or to ADA CERP at ada.org/goto/cerp.





# Digit-sucking: Etiology, clinical implications, and treatment options

# Educational objectives

- Recognize the signs of digit-sucking habits and explain the potential ramifications
- · Identify possible causes
- · Determine when to seek treatment
- · Provide treatment options, referrals, and other resources

# **Abstract**

Nonnutritive sucking is a normal reflex in infants up to six months of age. While most children grow out of this habit, many do not. There are several different theories as to why a child may continue the habit. Clinical implications include the development or relapse of malocclusions and bony structural changes, speech and articulation issues, chewing and swallowing problems, airway and breathing difficulty, and more. The severity of this habit and the corresponding signs are dependent on many factors, including frequency, intensity, duration, and the number and position of digits involved. There are several treatment options available, which will be discussed in this course. It is important for the dental care provider to be able to identify clinical signs of sucking habits, determine if and when treatment is necessary, and provide the patient and/or guardian with treatment options, referrals, and other resources. This course will provide the dental care provider with the confidence and knowledge to adequately manage these patients.



Go online to take this course.

DentalAcademyofCE.com

QUICK ACCESS code 20010

# Etiology of sucking habits

Sucking is considered a normal behavior in infants and an important part of human development. There are two basic types of sucking: nutritive and nonnutritive. During nutritive sucking, an infant receives nourishment through a breast or bottle. Nonnutritive sucking habits provide no nourishment and are often associated with finger(s) or a pacifier. Nonnutritive sucking of the fingers is also referred to as "digit-sucking." Digit-sucking is considered a habit if it is a prolonged or repetitive behavior.

Both nonnutritive and nutritive sucking are rhythmical and involve two basic components: suction and expression. Suction involves the negative pressure created by the lip seal and velopharyngeal closure as the mandible is lowered. Expression involves the upward compressive movement of the tongue.<sup>3</sup>

Though nonnutritive sucking provides no nourishment, there are many known benefits. Nonnutritive sucking can promote self-regulation and mouth exploration. It can also help with the coordination of sucking and swallowing patterns and has been used strategically to facilitate transitioning from tube to oral feeding in preterm infants.<sup>4</sup>

Sucking can sometimes be seen on imaging in utero, with the fetus sucking finger(s) and swallowing amniotic fluid.2 Internationally, the incidence of digit-sucking in early life is reported to be anywhere between 34% to 90%, and many children stop nonnutritive sucking habits as they age.2 The average age of habit cessation is just less than four years old.2 Still, some children fail to grow out of the habit and continue it throughout adulthood. According to both the American Association of Orthodontists and the American Dental Association, if a child does not quit a digitsucking habit on his or her own by age four, the parents should actively discourage the habit.<sup>2</sup> Digit-sucking is considered chronic if it occurs in at least two environments (e.g., home, school, or another location) after the child is five years of age.2

To properly address a digit-sucking habit, it is important to understand the possible underlying causes. To date, several theories and hypotheses have been proposed regarding the etiology of digit-sucking. This includes, but is not limited to, the psychoanalytical theory, the oral drive theory, the sensory deprivation theory, the learning theory, and the rooting and sucking reflex theories. Each of these theories, as well as a few other hypotheses, will be covered briefly here.

# **PSYCHOANALYTICAL THEORY**

In the early 20<sup>th</sup> century, psychologist Sigmund Freud established a theory describing digit-sucking as an autoerotic and pleasure-seeking behavior, with an underlying cause related to psychopathology and problems with emotional development. He proposed that sucking behaviors stimulate the erogenous zone of the mouth. Erogenous zones are bodily areas with concentrated nerve endings and are highly sensitive. He suggested that sucking occurs because of an infant's need for satisfaction rather than the need for nutrition. While psychoanalytical theory was once very influential, its reputation has declined in recent decades.

## **ORAL DRIVE THEORY**

This theory supports the Freudian belief that sucking increases the erotogenesis of the mouth, but it further suggests that the strength of an individual's drive to suck is determined by how long he or she is fed through nutritive sucking. According to this theory, the oral drive is strengthened through prolonged nursing, rather than the frustration of weaning.<sup>5</sup>

# SENSORY DEPRIVATION THEORY

According to this theory, repetitive digitsucking may induce sensory deprivation.<sup>2</sup> It is thought that the frequent and monotonous stimulation may lead to the reduction of sensory receptors in the mouth, and this deprives an individual of normal sensory input. This hypoarousal may cause a child to suck even more to achieve the same results.

# LEARNING THEORY

The learning theory holds that digitsucking is not simply an innate behavior but rather a learned behavior. One way that children learn is through "mirroring": observing and imitating others, their actions, and the resultant rewards. For example, according to this theory, sucking habits could arise from a child mimicking a sibling. While there may be a genetic predisposition to digit-sucking behaviors, learned behavior may account for some of the incidences of more than one individual with a digit-sucking habit in a family unit.<sup>6</sup>

# **ROOTING AND SUCKING REFLEX THEORIES**

Rooting and sucking are infantile reflexes seen from birth, and both of these reflexes are important for feeding. The rooting and sucking reflex theories suggest that an infant's primitive reflexes are responsible sucking behaviors, and a failure of reflex integration results in an abnormal, prolonged habit.

The rooting reflex occurs when a child turns his or her head toward a stimulus. The rooting reflex is active until about three to six months of age. The sucking reflex occurs when the palate is stimulated. The sucking reflex is active until six to 12 months of age, but a child can continue to suckle and suck liquid from the breast and bottle as needed. Around five to six months of age, children begin discriminative mouthing, which will promote a child's ability to eat solid foods. Around this age, because complementary foods are introduced, infants are no longer dependent solely on sucking for nutrition.

# NEUROPHYSIOLOGICAL EFFECTS AND THE BRAIN'S REWARD SYSTEM

Another hypothesis is that sucking results in a release of "happy" hormones, so children suck because it feels good. Research has shown that digit-sucking produces this positive neurological response at the incisive papilla, an area of highly concentrated nasopalatine nerve receptors, which are linked to the locus coeruleus. The locus coeruleus is an area of the brain associated with the neurotransmitters serotonin, norepinephrine, acetylcholine, and dopamine.8 Additional research in infant pain management has validated that serotonin production increases as a result of nonnutritive sucking.9 Some have suggested that the levels of beta endorphins can also be modulated through nonnutritive sucking, but evidence to substantiate this claim is lacking.

Because sucking feels good, it incentivizes the brain's reward system. There

are three basic divisible psychological components of a reward system: liking, wanting, and learning. "Liking" refers to the hedonic impact, "wanting" refers to incentive salience, and "learning" refers to predictive associations and cognitions. <sup>10</sup> Digit-sucking is analgesic in nature and can be used to self-soothe and cope with pain, fear, anxiety, or other psychological disturbances.

# DIGIT-SUCKING AS A CONSEQUENCE OF ANKYLOGLOSSIA

Another suggested cause of thumb sucking is ankyloglossia. At rest, the tongue should be in a palatal position with the tip touching the incisive papilla. It has been suggested that a tongue that is restricted in its movement (i.e., ankyloglossia) cannot stimulate the palate, and a child may use his or her digits for self-stimulation.<sup>11</sup>

# DIGIT-SUCKING TO AID IN SLEEP

Serotonin released during sucking has been associated with happiness and vast physiological functions and behaviors, including eating, sleeping, circadian rhythmicity, and neuroendocrine function.<sup>12</sup> The tonic stimulation of the serotonergic system during wakeful periods has been associated with the generation of "sleep pressure," which, along with the circadian clock, is essential for good, restorative sleep. 13,14 Serotonin is also the precursor to the hormone melatonin, which is important in the regulation of the sleep-wake cycle.15 Interestingly, nonnutritive sucking is common during periods of fatigue and at bedtime, indicating that perhaps finger-sucking is used to build up sleep pressure.

Nighttime digit-sucking can ease a child to sleep. Research has shown that infants who engage in digit-sucking experience fewer night wakings, less sleep during the day, and longer stretches of sleep at night. <sup>16</sup> It is rumored that digit-sucking can stimulate deeper stages of sleep, although no research has been done on this hypothesis to date.

# DIGIT-SUCKING TO PROTECT THE AIRWAY Another hypothesis is that digit-sucking can be used to protect the airway. The

basis of this hypothesis is that digit-sucking can seal the lips, pulling the tongue and jaw down and forward to promote nasal breathing, as in the head-tilt/chinlift and jaw-thrust maneuvers used with CPR. Fingers can also be used to prop the mouth open at night to facilitate mouth breathing.<sup>17</sup> Research reveals that a lower respiratory rate and an increase in oxygen levels often occur during nonnutritive sucking.<sup>12</sup>

# DIGIT-SUCKING RELATED TO HUNGER

Some have suggested that infants exhibit nonnutritive sucking behaviors due to a lack of satiety after a feed.

# Assessing severity

Severity of a digit-sucking habit is determined by a combination of several factors, including the frequency, intensity, duration, as well as the number and position of digits involved. Frequency is defined as how often the habit occurs during a given period. Intensity is defined as the force of sucking bursts in a given period. Duration can be defined as the length of time that the sucking continues.

Not all digit-sucking habits involve the thumb. One or more digits can be involved. Children will often develop an affinity for a particular hand or finger(s). <sup>18</sup> Some digit-sucking habits also involve transitional

objects and/or other concomitant habits, such as hair-twirling or blanket-sucking. There have been many attempts to classify digit-sucking, including Subtelny (1973), Cook (1995), and Johnson (1993), based on various factors, such as the position of the digit in the mouth.<sup>19</sup>

# Effects of digit-sucking

# MALOCCLUSIONS AND ROOT RESORPTION

Teeth are subject to both intrinsic (e.g., lips, cheek, and tongue) and extrinsic (e.g., orthodontics and/or digits) forces, and six hours of force applied each day is needed in order to elicit tooth movement.2 Digitsucking can alter the balance of forces on the teeth and has the potential to cause tooth movement.2 One of the most common malocclusions seen with digit-sucking is the anterior open bite.20 An anterior open bite can be symmetrical or asymmetrical, depending on the position of the digits during the habit.21 An anterior open bite is one of the most difficult malocclusions to manage orthodontically, and posttreatment orthodontic relapse is common.22

Other common malocclusions seen in digit-sucking individuals include the posterior crossbite, overjet, flaring of the maxillary incisors, and in more severe cases, the lingual retrusion of the mandibular anterior incisors. <sup>20,21</sup> Digit-sucking

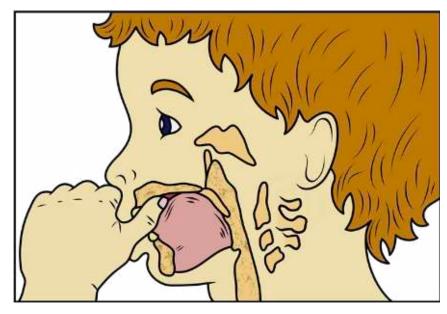
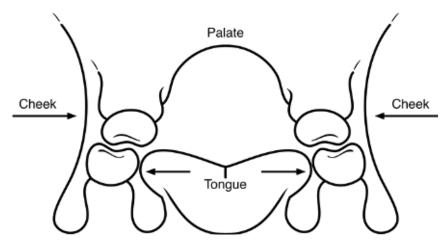


FIGURE 1: The pressure from the thumb, lips, and tongue can contribute to changes in dentofacial development.<sup>1</sup>

Dental Academy of CE.com 43



**FIGURE 2:** During digit sucking, the tongue is depressed into the mandible. From this low position, the pressure from the tongue cannot counterbalance the pressure exerted by the lips and cheek.<sup>28</sup>

has also been frequently associated with angle class II malocclusions. <sup>20</sup> Increasing the frequency, intensity, and duration of the habit correlates proportionally with an increase in the risk of developing a malocclusion. <sup>2</sup>

Orthodontists experience some other unique challenges in treating children with nonnutritive sucking habits. For example, atypical root resorption of primary and permanent central incisors has been noted in thumb-sucking patients.<sup>23</sup> This is a factor the orthodontist should consider during treatment planning.

# ALTERATIONS IN OROFACIAL GROWTH AND DEVELOPMENT

Tooth positioning is not the only orthodontic concern for individuals with digitsucking habits. The forces created as a result of a digit-sucking habit can alter the general trajectory of orofacial growth and development in both the horizontal and vertical planes. Children with prolonged digit-sucking habits have a propensity toward undesirable skeletal changes and a downward (vertical) facial growth pattern, a deficient midfacial profile, and a narrow, v-shaped maxillary arch.<sup>24-26</sup> Nonnutritive sucking has been associated with a decrease in maxillary intraarch distance, which corresponds with a decrease in nasopharyngeal airway capacity.27 In a narrow maxillary arch, there may not be enough room to accommodate a proper palatal rest position for the tongue. A narrow upper jaw may require

orthodontic expansion or surgical intervention to correct.

# ORAL AND FACIAL MUSCLE DYSFUNCTION

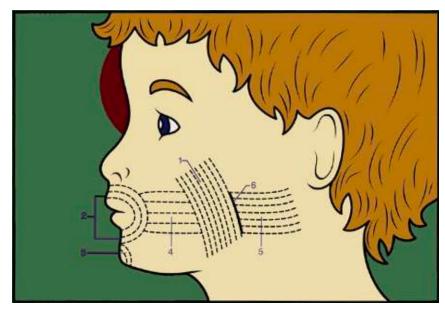
Digit-sucking involves the unfavorable use of the oral and facial muscles. This is known as an orofacial myofunctional disorder. An orofacial myofunctional disorder is defined as the "abnormal resting labial-lingual posture of the orofacial musculature, atypical chewing and swallowing patterns, dental malocclusions, blocked nasal airways, and speech problems."<sup>29</sup>

The main structures used for sucking are the masseter, orbicularis oris, mentalis,

buccinator, superior pharyngeal constrictor, and pterygomandibular raphe. Hyperactivity of the buccinator muscles can put excessive, unbalanced pressure on teeth and the alveolar bone, which can result in narrow arches and malocclusions. Belectromyography (EMG) assessments of digit-sucking revealed that lip muscles and the mentalis are also very active during nonnutritive sucking activities. Superior of the superior bucching activities.

With digit-sucking, the lower lip can become hyperactive, and the upper lip becomes shortened and hypotonic, contributing to lip incompetence. Meanwhile, the finger(s) displaces the tongue in a downward position, away from the maxillary arch. With the tongue resting in a low and forward position, digit-sucking also promotes tongue-thrust swallowing. A tongue-thrust swallow is characterized by dentalized tongue movement during the swallow, in an anterior or lateral direction.

Retraining the tongue to rest in the proper palatal position after digit-sucking has been eliminated is imperative for long-term stability of the orthodontic treatment and the prevention of many other problems. <sup>26,27</sup> Orofacial myofunctional therapy can be used to help patients to achieve palatal tongue rest posture and lip closure. It can also be used to correct tongue functioning



**FIGURE 3:** The main structures involved in sucking and swallowing are the: 1) masseter, 2) orbicularis oris, 3) mentalis, 4) buccinator, 5) superior pharyngeal constrictor, and 6) pterygomandibular raphe. 1

DentalAcademyofCE.com

during chewing and swallowing as well as lip incompetence.<sup>33</sup>

Digit-sucking children have three times the risk of developing a speech sound disorder.34 Articulators used in speech sound production include the pharynx, velum/soft palate, hard palate, alveolar ridge, tongue, teeth, and lips. Research has shown that there is a correlation between "s" sound distortions and increased overjet.35 Other research reveals an association between anterior open bites and lisps.35 Orthodontic habit appliances used to correct digit-sucking and/or tongue-thrusting can also interfere with various speech sounds.36 Research on infants suggests that limited free tongue movement can negatively impact auditory speech perception.37

# OTHER COMPLICATIONS

Digit-sucking can put a child at increased risk of developing infections and other conditions of the lips, mouth, and fingers. Irritation of the lips, oral mucosa, and fingers has been noted. One example is "dishpan finger," which is a form of contact dermatitis related to irritation from frequent moisture.<sup>5</sup> Bony deformities can be noted in the fingers.<sup>38</sup> Studies have shown that digit-sucking children have a higher chance of developing infections, including parasites (e.g., pinworms), compared to nonsucking children.<sup>39</sup>

# Habit cessation

Digit-sucking is a complex issue. Cessation is possible, but additional steps may be needed to ensure a successful quit attempt, including screening for possible contributors to the habit (e.g., an assessment of the airway and restrictive lingual range of motion evaluation). A negative experience with a quitting attempt can negatively impact future attempts.

While the American Academy of Pediatric Dentistry and the American Dental Association offer some guidelines for treatment, as shown in figure 4, there is no standard treatment for the cessation of nonnutritive sucking habits. Cessation methods can be classified into the following general categories: preventive therapy, psychological therapy, reminder

therapy, reward therapy, punishment therapy, appliance therapy, and orofacial myofunctional therapy.

# **PREVENTION**

Treatment for digit-sucking habits in infants is mostly preventive in nature. Some parents may choose to substitute the finger with a pacifier. By providing a child with a pacifier, the parent may feel that they have more control over weaning their child from the habit. The American Academy of Pediatrics and the American Academy of Family Physicians both agree that, if a pacifier is used, children should be weaned from it within the second six months of life. Around six months of age, the parent

# **PSYCHOLOGICAL THERAPY**

Creating distractions and keeping the hands busy could be considered psychological therapy. Professional psychological counseling may also be employed as needed in patients who are unwilling and/or unresponsive to quitting, sucking for attention, or seeking revenge.20 Current psychotherapeutic techniques to address digit-sucking may include, but are not limited to, cognitive behavioral therapy, motivational interviewing, and insight therapy. Psychiatric intervention or psychotherapy may be especially important for an individual experiencing bullying, home or family problems (e.g., moving, divorce, or death), abuse, or other issues.

# Figure 4: Current guidelines for digit-sucking<sup>2,40</sup>

# **AAPD**

# AAPD supports the individualized approach for each child in evaluating oral habits.

 Where appropriate, the AAPD encourages treatment of oral habits to prevent or intercept possible malocclusion or skeletal dysplasia from occurring.

# ADA

- Praise children when they don't suck their thumb (e.g., verbal praise, stickers).
- Find alternative ways of comforting and soothing for children (e.g., stuffed toy).
- Provide reminders or negative reinforcement for thumb sucking (e.g., place topical bitter liquids such as Mavala Stop and Thum on the thumb; put a bandage around the thumb).
- Involve older children in ways in which they can stop sucking (e.g., have children help create their own reward system).

may be able to introduce age-appropriate items for the infant to chew or mouth on, instead of suck.<sup>7</sup>

Parents may decide to swaddle their infant as a preventive measure. Swaddling can be calming, promote sleep, and provide nonpharmacologic pain management. <sup>42</sup> Swaddling should be used in moderation, as it poses some risks to infants, including the limitation of natural growth, development, and movement.

Another way to potentially prevent a digit-sucking habit from occurring in the first place is through breastfeeding at will, allowing the child to feed to his or her content when he or she shows signs of hunger. Some research has shown that bottle-feeding does not appear to have the same effect.<sup>42</sup>

# REMINDER THERAPY

For a reminder to be effective, a patient must be compliant and ready to quit. A reminder is neither a punishment nor reward; it is neutral. Oral deterrent therapy, when not used as a punishment, can be considered a form of reminder therapy. This involves application of a distasteful substance on the fingers, such as capsaicin or another chemical.

Other common types of reminders are finger bandages, digit tape, or soft hand coverings (e.g., gloves or socks). It is worth noting that there have been a few reports of tourniquet syndrome as a result of reminder bandages being applied too tightly. Caregivers should be mindful of this when applying reminders.<sup>43</sup>

DentalAcademyofCE.com 45

# **REWARD THERAPY**

Reward therapy can be useful for an individual who is ready to quit a habit and simply needs a good reason. This involves offering prizes to children when they do not suck. Contingency contracting (also known as bribing) can also be a form of reward therapy.

# **PUNISHMENT**

Parents are often unaware that when it comes to digit-sucking, punishment is largely ineffective. Common forms of punishment include taking away a comfort or transition object or nagging. Negativity from caregivers can have the opposite of the desired effect, as it can cause a child to hide their habit or suck even more. It is recommended that caregivers use a kinder and gentler approach.<sup>20</sup>

# MECHANICAL RESTRAINTS

Mechanical restraints, such as thumb or arm guards, physically prevent an individual from putting his or her hands in the mouth. They can be purchased or made at home. Parents sometimes use elastic bandage wraps with popsicle sticks to prevent the digit(s) from reaching the mouth.

Some "three-alarm systems" have also been proposed. These systems offer multiple reminders and/or mechanical restraints as a fail-safe.

# APPLIANCE THERAPY

Appliance therapy for digit-sucking involves fixed or removable orthodontic appliances designed to prevent an individual from sucking his or her finger(s). They may include cribs, spikes, rakes, prongs, or other types of "reminders."

Orthodontic appliances have some limitations. First of all, they can be considered punitive. They may be contraindicated in patients with a high dental caries risk and/or lack of cooperation. They also have been associated with restrictions in food choices and an impaired ability to chew and swallow, taste, and process emotions. Furthermore, fixed or removable orthodontic habit appliances can inhibit the growth and development of the dental arches. Finally, some determined children will still indulge in their habit with orthodontic appliances in place.

# OROFACIAL MYOFUNCTIONAL THERAPY

Orofacial myofunctional therapists offer positive, appliance-free habit cessation programs that tout high success rates. 46,47 Orofacial myofunctional therapists educate, empower children to take control over their own habits, encourage family involvement in the process, and provide support and accountability. Orofacial myofunctional therapists combine aspects of other therapeutic techniques, such as reminders, rewards, and distractions. Once the cessation of digit-sucking has been achieved, a child may be a candidate for a full orofacial myofunctional therapy program to correct tongue rest posture, lip incompetence, as well as maladaptive chewing and swallowing patterns.

# Conclusion

There are many hypotheses regarding the etiology of digit-sucking habits. We now know that digit-sucking may be more than just a bad habit; it may be a compensatory strategy related to ankyloglossia or an airway obstruction. <sup>26</sup> It is the medical and dental provider's duty to screen for those conditions before recommending any form of intervention. An appropriately timed and successful quit attempt can redirect orofacial growth and development and prevent many long-term problems.

# References

- Turgeon-O'Brien H, Lachapelle D, Gagnon PF, et al. Nutritive and nonnutritive sucking habits: a review. ASDC J Dent Child. 1996;63(5):321-327.
- Khayami S, Bennani F, Farella M. Fingers in mouths: from cause to management. N Z Dent J. 2013;109(2):49-54.
- Lau C. Development of suck and swallow mechanisms in infants. Ann Nutr Metab. 2015;66(05):7-14. doi:10.1159/000381361
- McGrath JM, Bodea Braescu AV. State of the science: feeding readiness in the preterm infant. J Perinat Neonatal Nurs. 2004;18(4):353-368. doi:10.1097/00005237-200410000-00006
- Toseska-Spasova N, Dzipunova B, Tosheska-Trajkovska K, et al. Nonnutritive sucking habit thumb-sucking. *J Morphol Sci.* 2019;2(1):18-23.
- Davidson L. Thumb and finger sucking. *Pediatr Rev.* 2008;29(6):207-208. doi:10.1542/pir.29-6-207
- Bahr D. Nobody Ever Told Me (or My Mother) That!: Everything from Bottles and Breathing to Healthy Speech Development. Sensory World; 2010.

- Ferrante A, Ferrante A. Finger or thumb sucking. New interpretations and therapeutic implications. *Minerva Pediatr*. 2015;67(4):285-297.
- Gibbins S, Stevens B. Mechanisms of sucrose and nonnutritive sucking in procedural pain management in infants. *Pain Res Manage*. 2001;6(1):21-28. doi:10.1155/2001/376819
- Arias-Carrión O, Stamelou M, Murillo-Rodríguez E, et al. Dopaminergic reward system: a short integrative review. *Int Arch Med.* 2010;3:24. doi:10.1186/1755-7682-3-24
- 11. Van Norman RA. Digit-sucking: a review of the literature. *Int J Orofacial Myology.* 1997;23:14-34.
- Harding C. An evaluation of the benefits of nonnutritive sucking for premature infants as described in the literature. Arch Dis Child. 2009;94(8):636-640. doi:10.1136/ adc.2008.144204
- Oikonomou G, Altermatt M, Zhang R-W, et al. The serotonergic raphe promote sleep in zebrafish and mice. *Neuron.* 2019;103(4):686-701.e8. doi:10.1016/j.neuron.2019.05.038
- California Institute of Technology. Settling the debate on serotonin's role in sleep: The brain chemical is necessary to get enough sleep. ScienceDaily. June 24, 2019. https://www.sciencedaily.com/ releases/2019/06/190624173822.htm
- Frazer A, Hensler JG. Basic Neurochemistry: Molecular, Cellular and Medical Aspects. 6th ed. Lippincott-Raven; 1999.
- Butler R, Moore M, Mindell JA. Pacifier use, finger-sucking, and infant sleep. *Behav Sleep Med*. 2016;14(6):615-623. doi:10.1080/15402002.2015. 1048451
- Moses AJ. Thumb-sucking or thumb-propping? CDS Review. 1987;80(11):40-42.
- Hepper PG, Wells DL, Lynch C. Prenatal thumb sucking is related to postnatal handedness. *Neuropsychologia*. 2004;43(3):313-315. doi:10.1016/j.neuropsychologia.2004.08.009
- Johnson ED, Larson BE. Thumb-sucking: classification and treatment. ASDC J Dent Child. 1993;60(4):392-398.
- Radford JR, ed. Oral habits Part 1: The dental effects and management of nutritive and nonnutritive sucking oral habits – Part 2: Beyond nutritive and non-nutritive sucking. *Br Dent J*. 2015;218(10):571. doi:10.1038/sj.bdj.2015.399
- Kwon O, Haria PJ, Kotecha S. Recognition, intervention and management of digit-sucking: a clinical guide for the general dental practitioner. *Prim Dent J.* 2016;5(4):56-60.
- Tanny L, Huang B, Naung NY, Currie G. Nonorthodontic intervention and non-nutritive sucking behaviours: a literature review. *Kaohsiung J Med Sci.* 2018;34(4):215-222. doi:10.1016/j. kjms.2018.01.006

46

- Mortelliti GM, Needleman HL. Risk factors associated with atypical root resorption of the maxillary primary central incisors. *Pediatr Dent*. 1991;13(5):273-277.
- Moore GJ, McNeill RW, D'Anna JA. The effects of digit-sucking on facial growth. JAm Dent Assoc. 1972;84(3):592–599. doi:10.14219/jada. archive.1972.0096
- Cozza P, Baccetti T, Franchi L, et al. Sucking habits and facial hyperdivergency as risk factors for anterior open bite in the mixed dentition. *Am J Orthod Dentofacial Orthop.* 2005;128(4):517-519. doi:10.1016/j.ajodo.2005.04.032
- Donofrio L. Oral dysfunction as a cause of malocclusion. *Orthodontics Craniofac Res.* 2019;22(S1):43-48. doi:10.1111/ocr.12277
- Agarwal SS, Nehra K, Sharma M, et al. Association between breastfeeding duration, non-nutritive sucking habits and dental arch dimensions in deciduous dentition: a cross-sectional study. *Prog Orthod.* 2014;15(1):59. doi:10.1186/ s40510-014-0059-4
- 28. Van Norman RA. *Helping the Thumb Sucking Child*. Avery; 1999: 33.
- Billings M, Gatto K, D'Onofrio L, et al. Orofacial myofunctional disorders. OMD overview.
   October 2018. http://iaom.com/wp-content/ uploads/2018/10/OMD-Overview-IAOM.pdf
- Rathee M, Jain P. Anatomy, Head and Neck, Buccinator Muscle. StatPearls Publishing; Sep. 22, 2019
- Sanguida A, Dutta S, Magu S, et al. Ultrasonographic study of masseter and orbicularis oris muscles after cessation of thumb sucking habit—a pilot study. Sch J Dent Sci. 2017;4(6):254-258.
- 32. Baril C, Moyers RE. An electromyographic analysis of the temporalis muscles and certain facial muscles

- in thumb- and finger-sucking patients. *J Dent Res.* 1960;39:536-553. doi:10.1177/00220345600390 031601
- Hockenbury DK. Can we 'grow lips' in therapy? The efficacy of lip stretching and strengthening exercises in patients with lip incompetence. J Dent Oral Health. Nov. 2, 2018.
- 34. Barbosa C, Vasquez S, Parada MA, et al. The relationship of bottle feeding and other sucking behaviors with speech disorder in Patagonian preschoolers. *BMC Pediatr*. 2009;9:66. doi:https:// doi.org/10.1186/1471-2431-9-66
- Borrie FRP, Bearn DR, Innes NPT, Iheozor-Ejiofor Z. Interventions for the cessation of pacifier or digitsucking habits in children. Cochrane Database Syst Rev. 2015;3: CD008694. doi:10.1002/14651858. cd008694
- Chen J, Wan J, You L. Speech and orthodontic appliances: a systematic literature review. Eur J Orthod. 2018;40(1):29-36. doi:10.1093/ejo/cjx023
- Bruderer AG, Danielson DK, Kandhadai P, Werker JF. Sensorimotor influences on speech perception in infancy. *Proc Natl Acad Sci USA*. 2015;112(44):13531-13536. doi:10.1073/ pnas.1508631112
- Srinivasan J, Hutchinson JW, Burke FD. Finger sucking digital deformities. J Hand Surg Eur Vol. 2001;26(6):584-588. doi:10.1054/jhsb.2001.0679
- Idowu OA, Babatunde O, Soniran T, Adediran A. Parasitic infections in finger-sucking school age children. *Pediatr Infect Dis J.* 2011;30(9):791-792. doi:10.1097/inf.0b013e31821e8449
- American Academy of Pediatric Dentistry. Policy Statement on Oral Habits. Revised 2003. https:// www.aapd.org/assets/news/upload/2003/270.pdf

Mataa

- 41. Sexton S, Natale R. Risks and benefits of pacifiers. *Am Fam Physician*. 2009;79(8):681-685.
- Nelson AM. Risks and benefits of swaddling healthy infants. MCN Am J Matem Child Nurs. 2017;42(4):216-225. doi:10.1097/ nmc.00000000000000344
- McGrath R, McCarron L, Cahill K, et al. P107 thumb auto-amputation following tourniquet syndrome. Arch Dis Child. 2019;104(Suppl 3):A199. doi:10.1136/ archdischild-2019-epa.462
- 44. Carter LA, Geldenhuys M, Moynihan PJ, et al. The impact of orthodontic appliances on eating—young people's views and experiences. *J Orthod*. 2015;42(2):114-122. doi:10.1179/146531331 4y.0000000128
- Klein ET. The thumb-sucking habit: meaningful or empty? Am J Orthod. 1971;59(3):283-289. doi:10.1016/0002-9416(71)90101-1
- Huang B, Lejarraga C, Franco CS, et al. Influence of non-orthodontic intervention on digit-sucking and consequent anterior open bite: a preliminary study. *Int Dent J.* 2015;65(5):235-241. doi:10.1111/idj.12178
- Green SE. Confirmational study: a positive-based thumb and finger sucking elimination program. Int J Orofacial Myology. 2010;36:44-59.



ALYSSA STILES, BS, RDH, LMT, COM, is a registered dental hygienist with experience in both general and pediatric dental offices. She is a former instructor in the University of Pittsburgh Dental Hygiene Department. Alyssa is a certified orofacial myologist and owner of

Pittsburgh Orofacial Myofunctional Therapy, LLC, where she helps children overcome oral habits.

Notes

DentalAcademyofCE.com 47

ONLINE COMPLETION QUICK ACCESS code 20010

Use this page to review questions and answers. Visit **dentalacademyofce.com** and sign in. If you have not previously purchased the course, select it from the Online Courses listing and complete your online purchase. Once purchased, the exam will be added to your Archives page, where a Take Exam link will be provided. Click on the Take Exam link, complete all the program questions, and submit your answers. An immediate grade report will be provided. Upon receiving a grade of 70% or higher, your verification form will be provided immediately for viewing and printing. Verification forms can be viewed and printed at any time in the future by visiting the site and returning to your Archives page.

- 1. Which of the following is true about sucking in infants?
  - A. Sucking is considered a normal behavior.
  - B. There are two basic types of sucking.
  - C. Both A and B
  - D. Neither A nor B
- 2. Nonnutritive sucking can help with:
  - A. Exploration
  - B. Self-regulation
  - C. Transitioning from tube feeding to oral feeding
  - D. All of the above
- Sucking behaviors are often first observed in utero, and the average age of digit-sucking cessation is:
  - A. In the first six months
  - B. In the first year
  - C. Just before age 4
  - D. By age 15
- 4. According to both the American Association of Orthodontists and the American Dental Association, if a child does not quit a digit-sucking habit on his or her own by age \_\_\_\_, the parents should actively discourage the habit.
  - A. 6 months
  - B. 1 year
  - C. 2 years
  - D. 4 years
- Digit-sucking is considered chronic if it occurs in at least \_\_\_\_ environment(s) (e.g., home, school, or another location) after five years of age:
  - A. One
  - B. Two
  - C. Three
  - D. Four

- 6. Which of the following theories states that digit-sucking leads to the reduction of sensory receptors in the mouth, thus reducing an individual's normal sensory input?
  - A. Psychoanalytical theory
  - B. Oral drive theory
  - C. Sensory deprivation theory
  - D. Oral gratification theory
- 7. Which of the following theories was first proposed by Sigmund Freud and states that digit-sucking is an autoerotic and pleasure-seeking behavior?
  - A. Psychoanalytical theory
  - B. Oral drive theory
  - C. Sensory deprivation theory
  - D. Oral gratification theory
- 8. Which class of malocclusion has been frequently associated with digit-sucking?
  - A. Class I
  - B. Class II
  - C. Class III
  - D. None of the above
- 9. Which of the following theories states strength of an individual's drive to suck is determined by how long he or she is fed through nutritive sucking and not the frustration of weaning?
  - A. Psychoanalytical theory
  - B. Oral drive theory
  - C. Sensory deprivation theory
  - D. Oral gratification theory
- 10. Which of the following theories states that digit-sucking is not innate and must be learned?
  - A. Learning theory
  - B. Combination of psychoanalytic and learning theories
  - C. Rooting and sucking reflex theories
  - D. Sensory deprivation theory

- 11. Which of the following theories associates digit-sucking with natural infantile reflexes of rooting and sucking?
  - A. Learning theory
  - B. Combination of psychoanalytic and learning theories
  - C. Rooting and sucking reflex theories
  - D. Sensory deprivation theory
- 12. The \_\_\_\_ reflex is active until about 3-6 months of age and involves a child turning his or her head to the side of the face that is touched.
  - A. Rooting
  - B. Sucking
  - C. Moro
  - D. Walking/stepping
- 13. The \_\_\_\_ reflex is active until about 6 to 12 months of age and involves a child sucking anything that touches the roof of the mouth.
  - A. Rooting
  - B. Sucking
  - C. Moro
  - D. Walking/stepping
- 14. Research has shown that digit-sucking produces this neurotransmitter.
  - A. Serotonin
  - B. Cortisol
  - C. Both A and B
  - D. Neither A nor B
- 15. Which of the following is not part of the brain's reward system?
  - A. Liking
  - B. Wanting
  - C. Avoiding
  - D. Yearning

ONLINE COMPLETION QUICK ACCESS code 20010

Use this page to review questions and answers. Visit **dentalacademyofce.com** and sign in. If you have not previously purchased the course, select it from the Online Courses listing and complete your online purchase. Once purchased, the exam will be added to your Archives page, where a Take Exam link will be provided. Click on the Take Exam link, complete all the program questions, and submit your answers. An immediate grade report will be provided. Upon receiving a grade of 70% or higher, your verification form will be provided immediately for viewing and printing. Verification forms can be viewed and printed at any time in the future by visiting the site and returning to your Archives page.

# QUESTIONS

- 16. This condition involves limited range of motion of the tongue. It has been proposed that with this condition, the tongue cannot stimulate the palate, and a child may use his or her digits for self-stimulation.
  - A. Macroglossia
  - B. Glossitis
  - C. Lip incompetence
  - D. Ankyloglossia
- 17. Research has shown that infants who engage in digit-sucking experience which of the following?
  - A. Fewer night wakings
  - B. Less sleep during the day
  - C. Longer stretches of sleep at night
  - D. All of the above
- This hormone is the precursor for melatonin, which helps to regulate the sleep-wake cycle.
  - A. Cortisol
  - B. Dopamine
  - C. Serotonin
  - D. Endorphins
- 19. Which of the following factor(s) determines the severity of a sucking habit and correlates proportionally with an increase in the risk of developing a malocclusion?
  - A. Frequency
  - B. Intensity
  - C. Duration
  - D. All of the above
- According to the research, \_\_\_ hour(s) of force applied each day is needed in order to elicit tooth movement.
  - A. 1
  - B. 4
  - C. 6
  - D. 18

- 21. Which of the following is true about an anterior open bite (AOB)?
  - A. It can be symmetrical or asymmetrical.
  - B. It can be difficult to manage orthodontically.
  - C. Posttreatment orthodontic relapse is common.
  - D. All of the above
- 22. Swaddling, breastfeeding at will, and replacements are considered \_\_\_\_ treatments.
  - A. Preventive
  - B. Reward
  - C. Mechanical
  - D. Appliance
- 23. Fixed and removable orthodontic devices are a form of \_\_\_\_ therapy.
  - A. Preventive
  - B. Reward
  - C. Mechanical
  - D. Appliance
- 24. Prizes given for not sucking are a form of \_\_\_ therapy.
  - A. Preventive
  - B. Reward
  - C. Mechanical
  - D. Appliance
- 25. Arm splints, braces, or other immobilizers are a form of \_\_\_\_ therapy.
  - A. Preventive
  - B. Reward
  - C. Mechanical
  - D. Appliance
- 26. Reminders should be:
  - A. Rewards
  - B. Punishments
  - C. Neutral
  - D. None of the above

- 27. The American Academy of Pediatrics and the American Academy of Family Physicians both agree that, if a pacifier is used, children should be weaned from it:
  - A. In the second 6 months of life
  - B. After 1 year
  - C. After 2 years
  - D. Both advocate actively discouraging pacifier use at any age.
- 28. This type of therapy can help with lip incompetence:
  - A. Orofacial myofunctional therapy
  - B. Speech therapy
  - C. Occupational therapy
  - D. Physical therapy
- 29. Which of the following are types of psychological techniques that can be used to treat digit-sucking?
  - A. Cognitive behavioral therapy
  - B. Motivational interviewing
  - C. Insight therapy
  - D. All of the above
- 30. Cribs, spikes, rakes, prongs, and other reminders are types of \_\_\_\_ therapy.
  - A. Preventive
  - B. Reward
  - C. Mechanical
  - D. Appliance

PUBLICATION DATE: FEBRUARY 2021 EXPIRATION DATE: JANUARY 2024

# **ANSWER SHEET**

# Digit-sucking: etiology, clinical implications, and treatment options

NAME:	1	TITLE: SPECIALTY:														
ADDRESS:	E	EMAIL:						AGD MEMBER ID (IF APPLIES):								
CITY:	5	STATE:					ZIP:		COUN	TRY:						
TELEPHONE (PRIMARY):	1	ΓELEΡΙ	HONE	E (OF	FICE):	:										
REQUIREMENTS FOR OBTAINING CE CREDITS BY MAIL/F 4) Complete course evaluation. 5) Complete credit card info or required for CE credit. FOR QUESTIONS, CALL (800) 633-10	r wri	te ch	eck	pay	able	to E	ndeavor Business	Media. 6) Ma	ail/fax	this p	age t	o DAČE				ris
Educational Objectives  1. Recognize the signs of digit-sucking habits and explain the potential ramifications 2. Identify possible causes 3. Determine when to seek treatment							Mail/fax completed answer sheet to:  Endeavor Business Media  Attn: Dental Division  7666 E. 61st St. Suite 230, Tulsa, OK 74133  Fax: (918) 831-9804									
4. Provide treatment options, referrals, and other resources  Course Evaluation  1. Were the individual course objectives met?  Objective #1: Yes No Objective #2: Yes No Objective #4: Yes No								Mal	ke che	eck p	ayab card		deavor Bus		owing	
Please evaluate this course by responding to the following statements, to the what extent were the course objectives accomplished overall?  3. Please rate your personal mastery of the course objectives.  4. How would you rate the objectives and educational methods?  5. How do you rate the author's grasp of the topic?	5 5 5	4 4	le of 3 3 3	Exce 2 2 2 2	ellent 1 1 1 1	0 0 0 0	p Poor = 0.	Acct. number: CVC #: Billing address: Charges on your statement will show up as Endeavor.								
<ol> <li>Please rate the author's effectiveness.</li> <li>Was the overall administration of the course effective?</li> <li>Please rate the usefulness and clinical applicability of this course.</li> <li>Please rate the usefulness of the references.</li> <li>Do you feel that the references were adequate?</li> </ol>	5 5 5 7	4 4 4	3 3 3 No	2 2 2	1 1 1	0 0 0		1. 2. 3. 4.	A A A	B B B		(D) (D) (D) (D)	16. @ 17. @ 18. @ 19. @	) B ) B ) B	© © ©	(D) (D) (D) (D)
No     No						5. 6. 7.	(A) (A) (A)	<ul><li>B</li><li>B</li><li>B</li></ul>	0 0 0		20. @ 21. @ 22. @	<ul><li>B</li><li>B</li><li>B</li><li>B</li></ul>	0 0	(D)		
14. How long did it take you to complete this course?  15. What additional dental continuing education topics would you like to see?						8. 9. 10. 11. 12.	<b>(A)</b>	<ul><li>B</li><li>B</li><li>B</li><li>B</li><li>B</li><li>B</li></ul>			23. @ 24. @ 25. @ 26. @ 27. @	<ul><li>B</li><li>B</li><li>B</li><li>B</li></ul>				
								13. 14. 15.	<b>(A)</b>	<ul><li>B</li><li>B</li><li>B</li></ul>	© © ©	(D) (D) (D) AGD Co	28. @ 29. @ 30. @ de: 430	) B	© ©	(D) (D) (D)

All questions have only one answer. If mailed or faxed, grading of this examination is done manually. Participants will receive confirmation of passing by receipt of a Verification of Participation form. The form will be mailed within two weeks after receipt of an examination.

COURSE EVALUATION AND FEEDBACK
We encourage participant feedback. Complete the evaluation above and e-mail additional feedback to Alleen Gunter (agunter@endeavorb2b.com) and Laura Winfield (lwinfield@endeavorb2b.com).

COURSE UREALT IS AND LOST
All participants scoring 70% or higher on the examination will receive a verification form for three (3) continuing education (CE) credits. Participants are urged to contact their state dental boards for CE requirements. The cost for courses ranges from \$20 to \$110.

PROVIDER INFORMATION

Endeavor Business Media is an ADA CERP-recognized provider. ADA CERP is a service of the American

Dental Association to assist dental professionals in identifying quality providers of continuing dental

education. ADA CERP neither approves nor endoness individual courses or instructors, nor does it imply

acceptance of cered throus by boards of dentistity. Concerns about a CE provider may be directed to the

provider or in ADA CERP at all assigning dentistity.

Concerns about a CERP at all assigning dentistity.

Therefore the advances of the acceptance of the

Dental Board of California: Provider RP5933. Course registration number CA code: 03-5933-20010. Expires 7/31/2022. "This course meets the Dental Board of California's requirements for three (3) units of continuing education."

Endeavor Business Media is designated as an approved provider by the American Academy of Dental Hyglene Inc. #AADHPNW (January 1, 2019—December 31, 2020). Approval does not imply acceptance by a state or provincial board of dentistry. Licensee should maintain this document in the event of an audit.

Endeavor Business Media maintains records of your successful completion of any exam for a minimum of six years. Please contact our offices for a copy of your CE credits report. This report, which will list all credits earned to date, will be generated and mailed to you within five business days of receipt.

# CANCELLATION AND REFUND POLICY

nal activity have not been altered.

© 2021 Academy of Dental Therapeutics and Stomatology, a division of Endeavor Business Media CUSTOMER SERVICE | CALL (800) 633-1681